



Individual (Controlling Person's) Self-Certification for FATCA and CRS

Instructions for completion

We are obliged under the Foreign Account Tax Compliance Act (FATCA), related intergovernmental agreements ("IGAs") and regulations based on the OECD Common Reporting Standard (CRS) to provide you with information regarding your investor's tax arrangements. Please complete this form so that in certain circumstances we may be able to provide you with information regarding your investor's interests in the Fund with respect to an investment that is not prohibited by local law.

Det här formuläret handlar om att fonden enligt nya regler måste säkerställa alla investerarens skatterättsliga hemvist, enligt dels FATCA som rör amerikanska myndigheter, dels CRS som är ett nytt samarbete mellan alla OECD länder. Även om du delvis kan ha uppgett denna information tidigare behöver den sammanställas igen.

If you have any questions about this form or defining the investor's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on FATCA or CRS please refer to the US Department of the Treasury's website at <http://www.irs.gov/Businesses/Corporations/Foreign-Account-Tax-Compliance-Act-FATCA> or the following link to the OECD CRS Information Portal at: <http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

If any of the information below about the investor's tax residence or FATCA/CRS classification changes in the future, please advise of these changes promptly.

Please note that where there are joint or multiple account holders each investor is required to complete a separate Self-Certification form.

Sections 1, 2, 3 and 5 must be completed by all investors.

Section 4 should only be completed by any individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity. For further guidance see

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

(Mandatory fields are marked with an *)

Section 1: Investor Identification

Investor Name*: _____

I **sektion 1** fyller du i raderna markerade med asterisk (*).

Current Residential Address*:

Number: _____ Street: _____

City, Town, State, Province or County: _____

Postal/ZIP Code: _____ Country: _____

Mailing address (if different from above):

Number: _____ Street: _____

City, Town, State, Province or County: _____

Postal/ZIP Code: _____ Country: _____

Place of Birth*

Town or City of Birth*: _____ Country of Birth*: _____

Date of Birth*(dd/mm/yyyy): _____

Section 2: FATCA Declaration of U.S. Citizenship or U.S. Residence for Tax purposes*:

Please tick either (a) or (b) and complete as appropriate.

(a) I confirm that [I am]/[the investor is] a U.S. citizen or resident in the U.S. for tax purposes and [my]/[its] U.S. federal taxpayer identifying number is _____

Sektion 2 handlar om att bekräfta att du inte är amerikansk medborgare. Är du enbart svensk medborgare kryssar du i (b).

OR

(b) I confirm that [I am not]/[the investor is not] a U.S. citizen or resident in the U.S. for tax purposes.

Section 3: CRS Declaration of Tax Residency (please note you may chose more than one country)*

Please indicate your/ the investor's country of tax residence (if resident in more than one country please detail all countries of tax residence and associated taxpayer identification numbers ("TIN"). Please see the CRS Portal for more information on Tax Residency.

Country of Tax Residency	Tax ID Number

Sektion 3 handlar om var du har din skattehemvist. Är du skriven i Sverige skriver du "Sweden" i kolumn ett. Ditt "Tax ID Number" är ditt personnummer som du fyller i, i kolumn två.

NOTE: Provision of a Tax ID number (TIN) is required unless you are tax resident in a Jurisdiction that does not issue a TIN.

Section 4 – Type of Controlling Person

(ONLY to be completed by any individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity or an Investment Entity located in a Non-Financial Institution (as defined by another Financial Institution)

For joint or multiple Controlling Persons please complete a separate section 4 for each Controlling Person. If you are a Controlling Person of an entity investor which is a Passive Non-Financial Entity or an Investment Entity located in a Non-Financial Institution (as defined by another Financial Institution) please complete section 4. If you are not a Controlling Person, please leave section 4 blank.

Please Confirm what type of Controlling Person applicable under CRS that applies to you/the investor by ticking the appropriate box.	Please TICK	Entity Name
Controlling Person of a legal person – control by ownership		
Controlling Person of a legal person – control by other means		
Controlling Person of a legal person – senior managing official		
Controlling Person of a trust - settlor		
Controlling Person of a trust – trustee		
Controlling Person of a trust – protector		
Controlling Person of a trust – beneficiary		
Controlling Person of a trust – other		
Controlling Person of a legal arrangement (non-trust) – settlor-equivalent		
Controlling Person of a legal arrangement (non-trust) – trustee-equivalent		
Controlling Person of a legal arrangement (non-trust) – protector-equivalent		
Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent		
Controlling Person of a legal arrangement (non-trust) – other-equivalent		



Section 5: Declaration and Undertakings:

I declare that the information provided in this form is, to the best of my knowledge, true and complete.

I acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I undertake to advise the recipient promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Authorised Signature*: _____



Print Name*: _____

Date: (dd/mm/yyyy)*: _____

Capacity*: _____



Du skriver din namnteckning vid "Authorised Signature" och skriver ditt namn i tydliga bokstäver vid "Print Name". Notera att om du saminvesterat med en annan privatperson behöver båda fylla i ett komplett formulär.

Skriv datum samt skriv "Individual Investor" under "Capacity" om du investerat som privatperson.



Då var det klart! Scanna in och mailla till tomk@apexfunds.ie samt JO@apexfunds.ie **ELLER** skriv ut och posta till din rådgivare.

Vi ber om ursäkt för det merarbete dessa nya regler skapar för dig.